



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/081,742	02/22/2002	Rick G. Craig	T9407.NP

THORPE, NORTH & WESTERN, L.L.P.  
P. O. Box 1219  
Sandy, UT 84091-1219



CONFIRMATION NO. 9419  
FORMALITIES LETTER  
  
\*OC000000007668011\*

Date Mailed: 03/19/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$18.
  - \$18 for 1 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 888.**

*A copy of this notice **MUST** be returned with the reply.*

06/07/2002 MBERHE 00000073 10081742  
 01 FC:201 370.00 OP  
 02 FC:205 65.00 OP  
 03 FC:203 9.00 OP

Customer Service Center

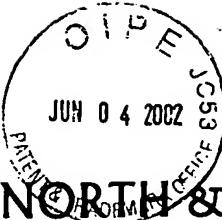
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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# THORPE, NORTH & WESTERN, L.L.P.

P.O. Box 1219  
Sandy, Utah 84091-1219  
Telephone (801) 566-6633 Facsimile (801) 566-0750

## FACSIMILE MEMORANDUM

Client: Real Estate Federation

Attorney: SMP

Docket: T9407.NP and 20356.NP

TO: Rick Craig

FACSIMILE NUMBER: 301-0244

FROM: Steve M. Perry/vad

DATE: February 20, 2002 (2:44PM)

SUBJECT: Real Estate Federation Signature Documents  
Our Docket No.: T9407.NP and 20356.NP

NUMBER OF PAGES

TO FOLLOW: 14

Please sign all documents where indicated. Please have the Assignments notarized. Please fax these documents back to us and also drop the original signed copy in the mail. Please call should you have any questions.

If you do not receive the complete document, please notify the sender as soon as possible.

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Transmitted by: Vivian

OFFICE OF PETITIONS

THE PAGES THAT FOLLOW MAY CONTAIN SENSITIVE, PRIVILEGED OR CONFIDENTIAL INFORMATION INTENDED SOLELY FOR THE ADDRESSEE NAMED ABOVE. IF YOU RECEIVE THIS MESSAGE AND ARE NOT THE AGENT OR EMPLOYEE OF THE ADDRESSEE, AND HAVE THEREFORE BEEN SENT OR RECEIVED THIS COMMUNICATION IN ERROR, YOU ARE ASKED NOT TO DISSEMINATE OR COPY ANY OF THE ATTACHED AND TO NOTIFY THE SENDER IMMEDIATELY BY TELEPHONE. PLEASE ALSO RETURN THE ORIGINAL MESSAGE TO THE SENDER BY MAIL. THANK YOU.



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*3PP \$ 44*  
*DAC*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Rick G. Craig et al

SERIAL NO.: 10/081,742

FILED: 02/22/2002

FOR: NETWORKED REFERRAL  
COMMISSION SYSTEM

ART UNIT:

EXAMINER:

DOCKET NO.: T9407.NP

CERTIFICATE OF DEPOSIT UNDER 37 C.F.R. 1.8	
DATE OF DEPOSIT: 05/20/2002	
I hereby certify that this paper or fee is being deposited with the United States Postal Service under 37 C.F.R. § 1.8 on the date indicated above and is addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231.	
<i>Steve M. Perry</i> Steve M. Perry Dated <u>May 20, 2002</u>	

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
PATENT APPLICATION Filing Date Granted**

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

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JUN 13 2002  
OFFICE OF PETITIONS

Sir:

In response to the Notice to File Missing Parts of Application mailed, please find enclosed herewith the following items for filing in the United States Patent and Trademark Office in connection with the above-identified patent application:

- An Assignment from the inventors to; **Real Estate Federation**;
- Applicant claims small entity status under 37 CFR 1.9(b) & 1.27(c);
- A copy of the Notice to File Missing Parts of Application Filing Date Granted;
- Petition to Accept Declaration Under 37 C.F.R. § 1.47 (MPEP § 409.03 - Inventor Refuses to Sign) and \$130.00 Petition Fee;

Combined inventor Declaration and Petition;

Power of Attorney

Prior Art Statement, Form PTO-1449, and copies of cited prior art;

Check in the amount of \$614.00 to cover the \$379.00 filing fee for the above-identified application, the \$40.00 recordation fee for the enclosed patent assignment, the \$65.00 surcharge fee pursuant to 37 C.F.R. § 1.16(e) and the \$130.00 Petition Fee for the enclosed Petition to Accept Declaration. These fees have been calculated as follows:

FOR	NO. FILED	NO. EXTRA	RATE	FEES	RATE	FEES
BASIC FEE				\$370		\$740
TOT. CLAIMS	21-20 =	1	x 9 =	\$ 9	x 18 =	\$
IND. CLAIMS	2-03 =	-0-	x 42 =	\$ -0-	x 84 =	\$
MULTIPLE DEPENDENT CLAIMS PRESENTED			\$280		\$280	
ASSIGNMENT FILING FEE			\$ 40	\$ 40	\$ 40	
SURCHARGE FEE				\$ 65		
PETITION FEE			\$130	\$130		
			<b>TOTAL</b>	<b>\$614</b>	<b>TOTAL</b>	

The Commissioner is hereby authorized to charge any additional fees associated with this communication or to credit any overpayment to Deposit Account No. 20-0100.

Assistant Commissioner for Patents  
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Please address all future correspondence in connection with this case to the attention of the undersigned.

DATED this 20<sup>th</sup> day of May, 2002.

Respectfully submitted,

*Steve M. Perry*

Steve M. Perry  
Attorney for Applicant  
Registration No. 45,357  
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SMP:ll  
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